

ALTERNATIVE TO PTO/BB08a6 (07-05)

Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete if Known	
		Application Number	10/776,682
		Filing Date	February 10, 2004
		First Named Inventor	Rodolfo A. MORALES
		Art Unit	3734
		Examiner Name	M. Ryckman
		Attorney Docket Number	678492000510
Sheet	1	of	1

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
<i>MR</i>	1.	US-2002/0072757-A1	08-13-2002	Ahmed et al.	
	2.	US-2002/0193815-A1	12-19-2002	Foerster et al.	
	3.	US-2003/0225420-A1	12-04-2003	Wardle	
	4.	US-2006/0058817-A1	03-18-2008	Starksen et al.	
	5.	US-5,843,189-A	12-01-1998	Taheri	
	6.	US-6,077,989-A	06-20-2000	Kandel et al.	
<i>MR</i>	7.	US-6,923,818-B2	08-02-2005	Muramatsu et al.	

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Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ² Number-Kind Code ³ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

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NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²

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Examiner Signature	<i>Melissa Ryckman</i>	Date Considered	9/26/06
pa-1091251			



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			Application Number	10/776,682	
			Filing Date	February 10, 2004	
			First Named Inventor	Rodolfo A. MORALES	
			Art Unit	3785	
			Examiner Name	G. Jackson	
Sheet	1	of	2	Attorney Docket Number	578492000510

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
MKP	1.	US-2003/0033006-A1	02-13-2003	Phillips et al.	
	2.	US-2003/0167071-A1	09-04-2003	Martin et al.	
	3.	US-2005/0080454-A1	04-14-2005	Drews et al.	
	4.	US-2005/0216078-A1	09-29-2005	Starksen et al.	
	5.	US-2005/0251157-A1	11-10-2005	Saadat et al.	
	6.	US-2005/0251159-A1	11-10-2005	Ewers et al.	
	7.	US-2005/0251166-A1	11-10-2005	Vaughan et al.	
	8.	US-2005/0251177-A1	11-10-2005	Saadat et al.	
	9.	US-2005/0251205-A1	11-10-2005	Ewers et al.	
	10.	US-2005/0251207-A1	11-10-2005	Flores et al.	
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	16.	US-2005/0277981-A1	12-15-2005	Maahs et al.	
	17.	US-2005/0277983-A1	12-15-2005	Saadat et al.	
	18.	US-2006/0025750-A1	02-02-2006	Starksen et al.	
	19.	US-2006/0025784-A1	02-02-2006	Starksen et al.	
	20.	US-2006/0025787-A1	02-02-2006	Morales et al.	
	21.	US-5,520,702-A	05-28-1996	Sauer et al.	
	22.	US-5,643,289-A	07-01-1997	Sauer et al.	
	23.	US-6,099,553-A	08-08-2000	Hart et al.	
	24.	US-6,409,743-B1	06-25-2002	Fenton, Jr.	
	25.	US-6,423,088-B1	07-23-2002	Fenton, Jr.	
	26.	US-6,575,971-B2	06-10-2003	Hauck et al.	
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Examiner Signature	<i>Michelle Ryckman</i>	Date Considered	9/26/06
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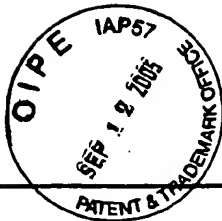
Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known	
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				Filing Date	February 10, 2004
				First Named Inventor	Rodolfo A. MORALES
				Art Unit	3785
				Examiner Name	G. Jackson
Sheet	2	of	2	Attorney Docket Number	578492000510

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		Application Number	10/776,682		
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		First Named Inventor	Rodolfo A. MORALES		
		Art Unit	3764		
		Examiner Name	Not Yet Assigned		
Sheet	1	of	3	Attorney Docket Number	578492000510

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		Number-Kind Code ² (if known)				
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	2.	US-2001/0014800-A1	08-16-2001	Frazier et al.		
	3.	US-2002/0013621-A1	01-31-2002	Stobie et al.		
	4.	US-2002/0029080-A1	03-07-2002	Mortier et al.		
	5.	US-2002/0035361-A1	03-21-2002	Houser et al.		
	6.	US-2002/0042621-A1	04-11-2002	Liddicoat et al.		
	7.	US-2002/0087048-A1	07-04-2002	Brock et al.		
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	9.	US-2002/0087148-A1	07-04-2002	Brock et al.		
	10.	US-2002/0087169-A1	07-04-2002	Brock et al.		
	11.	US-2002/0095167-A1	07-18-2002	Liddicoat et al.		
	12.	US-2002/0095175-A1	07-18-2002	Brock et al.		
	13.	US-2002/0156526-A1	10-24-2002	Hlavka et al.		
	14.	US-2002/0161378-A1	10-31-2002	Downing		
	15.	US-2002/0173841-A1	11-21-2002	Ortiz et al.		
	16.	US-2003/0018358-A1	01-23-2003	Saadat		
	17.	US-2003/0069593-A1	04-10-2003	Tremulis et al.		
	18.	US-2003/0074012-A1	04-17-2003	Nguyen et al.		
	19.	US-2003/0078465-A1	04-24-2003	Pai et al.		
	20.	US-2003/0220685-A1	11-27-2003	Hlavka et al.		
	21.	US-2003/0233142-A1	12-18-2003	Morales et al.		
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	24.	US-2004/0030382-A1	02-12-2004	St. Goar et al.		
	25.	US-2004/0039442-A1	02-26-2004	St. Goar et al.		
	26.	US-2004/0193191-A1	09-30-2004	Starksen et al.		
	27.	US-2004/0243227-A1	12-02-2004	Starksen et al.		
	28.	US-2005/0055087-A1	03-10-2005	Starksen		
	29.	US-2005/0065550-A1	03-24-2005	Starksen et al.		
	30.	US-2005/0107810-A1	05-19-2005	Morales et al.		
	31.	US-2005/0107811-A1	05-19-2005	Starksen et al.		
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	37.	US-4,055,861	11-01-1977	Carpentier et al.		
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	39.	US-5,242,456-A	09-07-1993	Nash et al.		
	40.	US-5,366,479-A	11-22-1994	McGarry et al.		
	41.	US-5,450,860-A	09-19-1995	O'Connor		
	42.	US-5,571,215-A	11-05-1996	Sterman et al.		
	43.	US-5,674,279-A	10-07-1997	Wright et al.		
	44.	US-5,709,695-A	01-20-1998	Northrup, III		
	45.	US-5,716,370-A	02-10-1998	Williamson, IV et al.		
	46.	US-5,725,542-A	03-10-1998	Yoon		
	47.	US-5,848,969-A	12-15-1998	Panescu et al.		

Examiner Signature	<i>Melissa Ryckman</i>	Date Considered	9/26/06
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			Filing Date	February 10, 2004	
			First Named Inventor	Rodolfo A. MORALES	
			Art Unit	3764	
Examiner Name	Not Yet Assigned				
Sheet	2	of	3	Attorney Docket Number	578492000510

MKK	48.	US-5,860,992-A	01-19-1999	Daniel et al.	
	49.	US-5,904,651-A	05-18-1999	Swanson et al.	
	50.	US-5,961,539-A	10-05-1999	Northrup, III et al.	
	51.	US-5,972,004-A	10-26-1999	Williamson, IV et al.	
	52.	US-5,989,284-A	11-23-1999	Laufer	
	53.	US-6,010,531-A	01-04-2000	Donlon et al.	
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	56.	US-6,165,183-A	12-26-2000	Kuehn et al.	
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	58.	US-6,250,308-B1	06-26-2001	Cox	
	59.	US-6,260,552-B1	07-17-2001	Mortier et al.	
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	63.	US-6,332,893-B1	12-25-2001	Mortier et al.	
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	70.	US-6,613,059-B2	09-02-2003	Schaller et al.	
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		Country Code ² -Number ³ -Kind Code ⁴ (if known)					
MKR	76.	WO-94/03227-A1		02-17-1994	Stefanadis et al.		
	77.	WO-00/60995-A2, A3		10-19-2000	Evalve, Inc.		
	78.	WO-00/67640-A2, A3		11-16-2000	Brock Rogers Surgical, Inc.		
	79.	WO-01/26586-A1		04-19-2001	Seguin	Translation of Abstract Only	
	80.	WO-02/051329-A1		07-04-2002	Brock Rogers Surgical, Inc.		
	81.	WO-03/105670-A2, A3		12-24-2003	Guided Delivery Systems, Inc.		
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Examiner Signature	<i>Melissa Ryckman</i>	Date Considered	9/26/06
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pa- 1006899

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		Filing Date	February 10, 2004		
		First Named Inventor	Rodolfo A. MORALES		
		Art Unit	3764		
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MKR	83.	De Simone, R. et al. (April 15, 1993). "Adjustable Tricuspid Valve Annuloplasty Assisted by Intraoperative Transesophageal Color Doppler Echocardiography," <i>Am. J. Cardiol.</i> 71(11):926-931.	
J	84.	De Simone, R. et al. (April 1, 1994). "Adjustable Annuloplasty for Tricuspid Insufficiency with External Control," <i>Reader's Comments and Reply, Am. J. Cardiol.</i> 73(9):721-722.	
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 2

Complete if Known

Application Number 10/776,682
Filing Date 02/10/2004
First Named Inventor Rodolfo A. Morales
Group Art Unit 3764
Examiner Name Unassigned
Attorney Docket Number GDS 1003-4

U.S. PATENT DOCUMENTS

Examiner Initials ¹	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
MIC	A1	5,257,975		Foshee	11-02-1993	
	A2	5,522,873		Jackman et al.	06-04-1996	
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	A17					
	A18					
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	A20					

FOREIGN PATENT DOCUMENTS

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		Office ³	Number ⁴	Kind Code ⁵ (if known)				
MIC	B1	WO	98/07375		Trustees of Columbia U.	02-26-1998		
MIC	B2	WO	02/03892		Paul A. Spence	01-17-2002		
	B3							
	B4							
	B5							
	B6							
	B7							
	B8							
	B9							
	B10							

Examiner
Signature

Melissa Ryckman

Date
Considered

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Sheet	2	of	2
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Complete if Known

Application Number	10/776,682
Filing Date	02/10/2004
First Named Inventor	Rodolfo A. Morales
Group Art Unit	3764
Examiner Name	Unassigned
Attorney Docket Number	GDS 1003-4

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

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Melissa Ryckman

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